

0010/PTO  
Rev. 6/95

U.S. Department of Commerce  
Patent and Trademark Office

**DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION**

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing

Attorney Docket Number

5240

First Named Inventor

Russel Anderson

COMPLETE IF KNOWN

Application Number

Please assign

Filing Date

September 28, 2000

Group Art Unit

Unknown

Examiner Name

Unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**DETECTING & MEASURING RISK WITH PREDICTIVE MODELS USING CONTENT MINING**

the specification of which

(Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto.
60/215,532	6/30/00	

**DECLARATION**

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I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
David L. Hayes	34,838	Daniel R. Brownstone	46,581
Albert C. Smith	20,355	Lorrel Birnschein	P-46,555
Charles E. Schulman	43,350	Robert R. Sachs	42,120
Amir H. Raubvogel	37,070		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to:

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**Fenwick & West LLP**  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor:**

☐ A petition has been filed for this unsigned inventor

Given Name	Russell	Middle Initial		Family Name	Anderson	Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City	Oceanside	State	CA	Country	USA	Citizenship	U.S.
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Mailing Address	
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☒ Additional inventors are being named on supplemental sheet(s) attached hereto

DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet			
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Larry	Middle Initial	S.	Family Name	Peranich	Suffix e.g. Jr.		
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Mailing Address								
City	San Diego	State	CA	Zip	92131	Country	U.S.	

<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Mailing Address	12682 Via Las Lenas							
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City	San Diego	State	CA	Zip	92129	Country	U.S.	

<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Joseph	Middle Initial	P.	Family Name	Milana	Suffix e.g. Jr.		
Inventor's Signature						Date		
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<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto								

DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet				
<b>Name of Additional Joint Inventor, if any:</b>					A petition has been filed for this unsigned inventor				
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<b>Name of Additional Joint Inventor, if any:</b>					A petition has been filed for this unsigned inventor				
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<b>Name of Additional Joint Inventor, if any:</b>					A petition has been filed for this unsigned inventor				
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<b>Name of Additional Joint Inventor, if any:</b>					A petition has been filed for this unsigned inventor				
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Inventor's Signature						Date			
Residence: City			State		Country			Citizenship	
Mailing Address									
Mailing Address									
City			State		Zip			Country	
Additional inventors are being named on supplemental sheet(s) attached hereto									